

Overview of 2020-2021 Random Validation Process for Federal Program Compliance Division

Vivian Smyrl

2020-2021 Random Validation Process Overview

10/2020

- 2020-2021 Random Validation Process Overview
 - Major Process Changes
 - Resources
 - LEA Selection Process
 - Part 1—Online Survey
 - Part 1.1—Desk Review
 - Part 2—Documentation Submission

Major Changes

Streamlined

- Fewer LEAs selected.
- Fewer requirements reviewed.
- Single calendar

Resources



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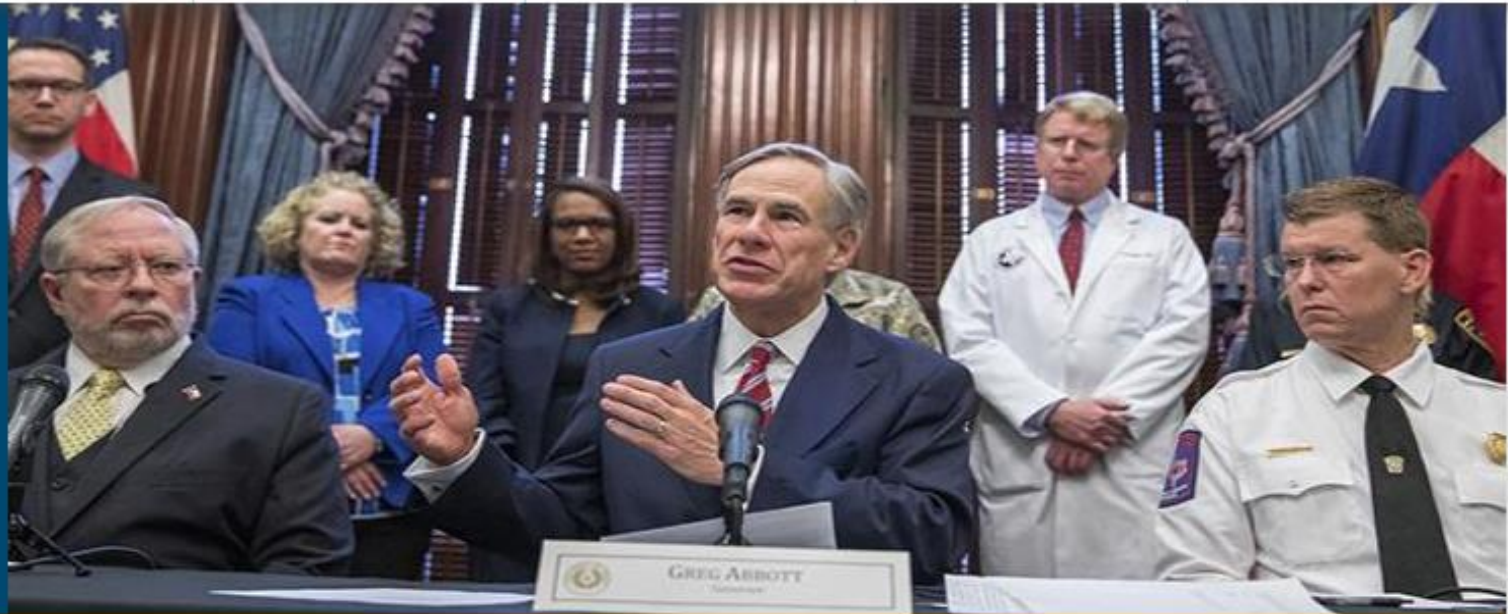
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<https://tea.texas.gov/finance-and-grants/grants/essa-program-essa-program-random-validations>

... ESSA Program **Random Validations** The Federal Program Compliance Division administers a **random** validation monitoring process for ensuring that LEAs are ... Option Copy of Online Survey Questions used in Part 1 of **Random Validations** Training videos: Complete presentation 2020-2021 ...



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ESSA Program Random Validations

The Federal Program Compliance Division administers a random validation monitoring process for ensuring that LEAs are in compliance with the requirements associated with the following ESSA programs/requirements.

- Title I, Part A—Improving Basic Programs
- Title I, Part C—Education of Migratory Children
- Title I, Part D, Subpart 2—Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent, or At-Risk
- Title II, Part A—Supporting Effective Instruction
- Title IV, Part A—Student Support and Academic Enrichment Grants
- Private Nonprofit Affirmation of Consultation
- Unsafe School Choice Option Written Policy

2020-2021 Random Validation Process

Related Content

[Department of Grant Compliance and Administration](#)

[Federal Program Compliance Division](#)

[Every Student Succeeds Act \(ESSA\) Statute](#)

[ESSA Ed-Flex](#)

[ESSA Private School Equitable Services](#)

[ESSA State Plan](#)

[ESSA Unsafe School Choice Option](#)

[Recommended Use of ESSA Funds - TEA Strategic Priorities](#)

Documents and timelines for the 2020-2021 Random Validation are as follows:

- [Random Validation Timeline for 2020-2021](#)

- Documentation Matrix, by program

- [Title I, Part A](#)
- [Title I, Part C](#)
- [Title I, Part D](#)
- [Title II, Part A](#)
- [Title IV, Part A](#)
- [Services to Private Nonprofit Schools](#)
- [Unsafe School Choice Option](#)

- [Copy of Online Survey Questions used in Part 1 of Random Validations](#)

Training videos:

- Complete presentation
 - [2020-2021 Random Validation Process](#)
- Individual sections
 - [Overview of Process](#)
 - [Part 1 - Online Survey](#)

Contact Information

For questions or additional information, please contact the Federal Program Compliance Division at ESSAsupport@tea.texas.gov.



LEA Selection Process

LEA Selection

- 4 LEAs will be randomly selected from each ESC region to participate in a two-part process* (80 LEAs total)
- LEAs selected for random validation in 2019-2020 may be selected in 2020-2021 for a different program
- LEAs in an SSA are eligible for selection

*TEA staff will also conduct a desk review (Part 1.1) as based on LEAs' Part 1 submissions.

Programs Included

Programs

1. Title I, Part A
2. Title I, Part C
3. Title I, Part D
4. Title II, Part A
5. Title IV, Part A
6. Private Non-Profit
7. Title VIII (USCO)

One Calendar For All Programs

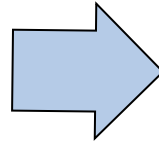
2020-2021 Random Validation Timeline

- 2020-2021 Random Validation Timeline
(copy available online)
 - Part 1 – October 19 – November 6
 - Part 1.1 (desk review by TEA staff) – November-February
 - Part 2 – November 16 – March 26

Random Validation Report Part 1

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**Part 1.0
Random
Validation Report**

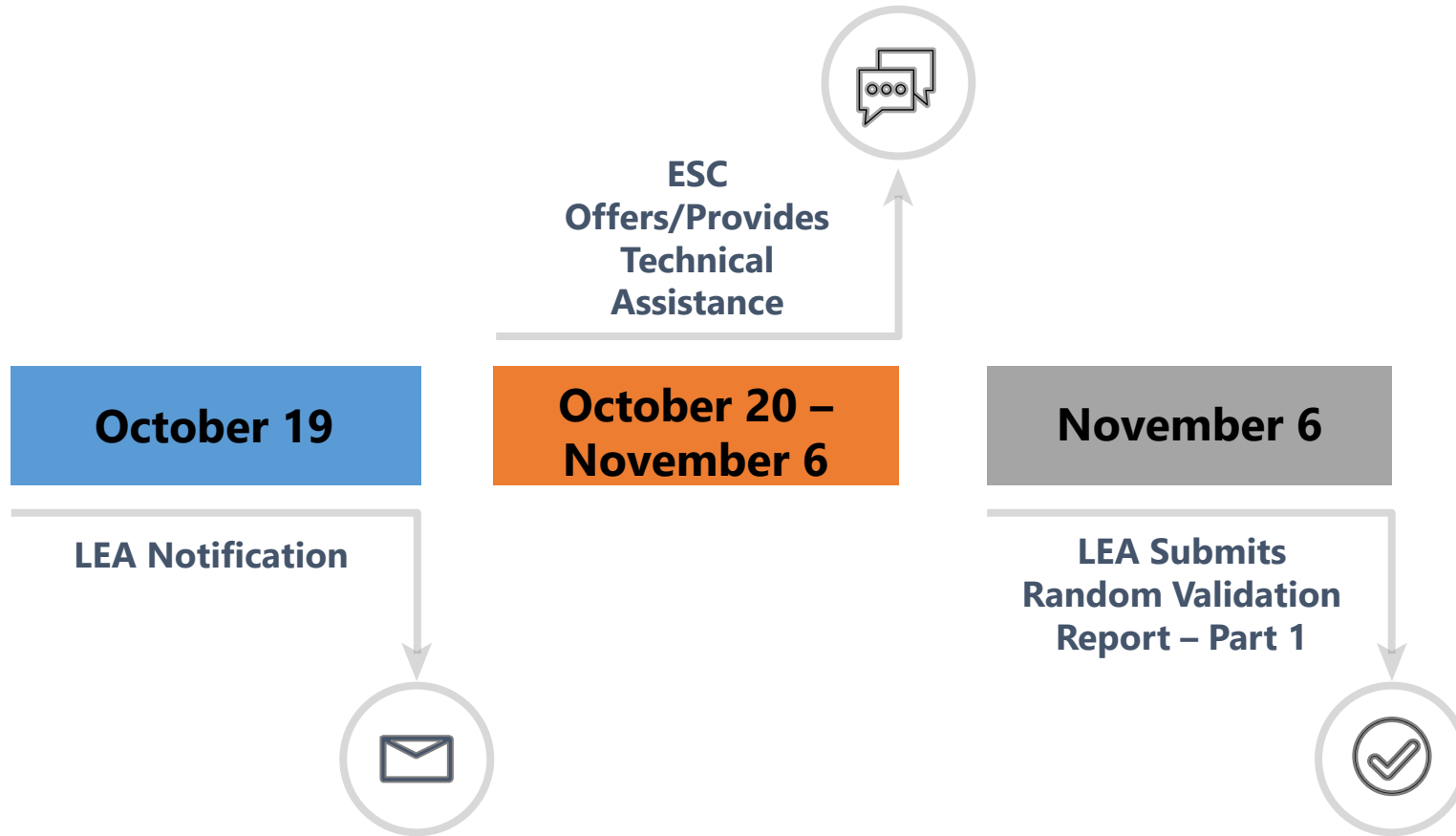


- All 80 LEAs selected will submit the completed Random Validation Report – Part 1 via Qualtrics survey without any documentation attached.
- Format is similar to Program Implementation questions from Compliance Report

- LEA Email Notifications include—
 - Link to Online Survey
 - Formal Notification Letter
 - Random Validation Timeline
 - Hard Copy of Survey Questions for Reference
 - Link to Documentation Matrix for each Program

Random Validation Report - Part 1.0

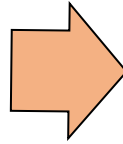
LEA Timeline



Part 1.1 (Desk Review)

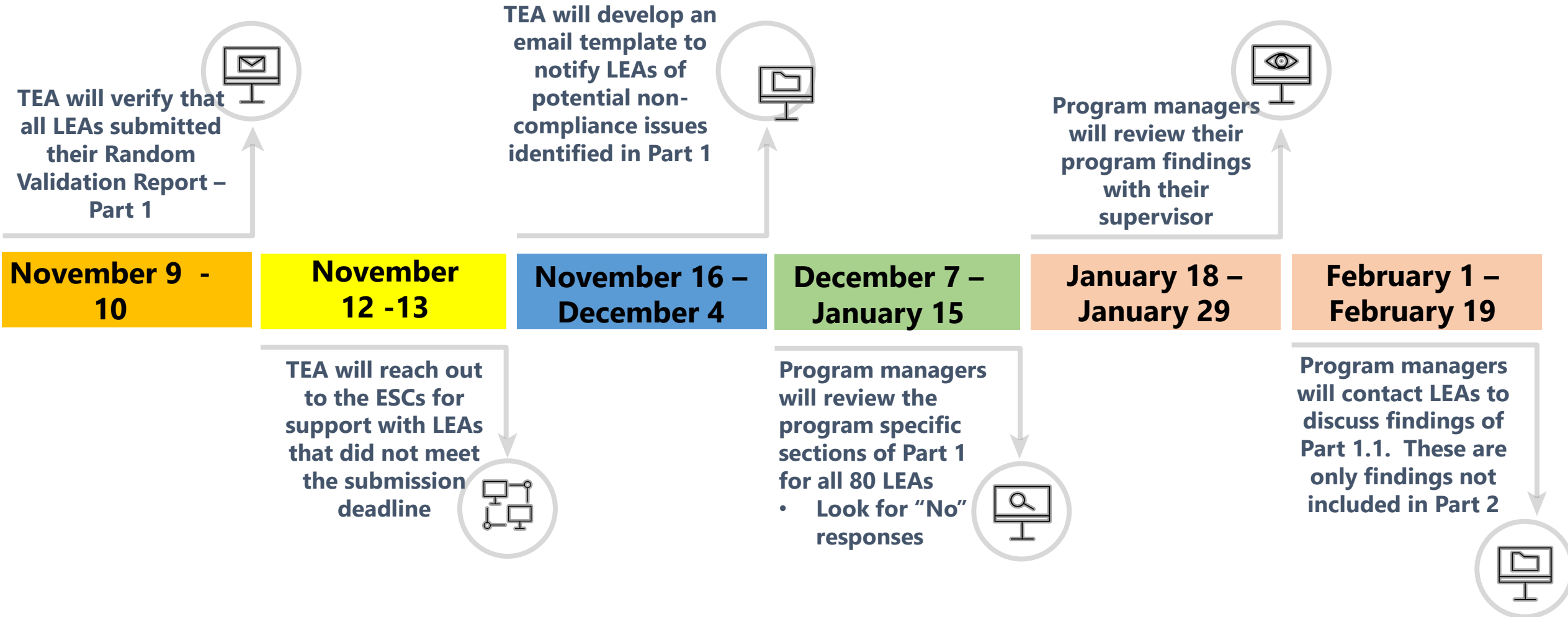
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Part 1.1
Random
Validation
General Program
Compliance
Review



- **TEA program managers will conduct desk review of the Random Validation Report – Part 1 responses for all LEAs**

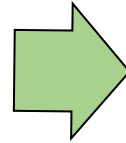
Random Validation Part 1.1 Reviews



Preview of Part 2

10/2020

Part 2
Random
Validation
Documentation



- **Each of the 80 LEAs selected will be randomly selected to submit current-year supporting documentation for 1 program**

Random Validation Documentation – Part 2

of LEAs Selected Per Program

Random Program Selection by Region

- Title I, Part A - 20 LEAs from 5 ESCs (4 LEAs per ESC)
- Title I, Part C - 20 LEAs from 5 ESCs (4 LEAs per ESC)
- Title I, Part D - 4 LEAs from 1 ESCs (4 LEAs per ESC)
- Title II, Part A - 12 LEAs from 3 ESCs (4 LEAs per ESC)
- Title IV, Part A - 12 LEAs from 3 ESCs (4 LEAs per ESC)
- Private Non-Profit - 8 LEAs from 2 ESCs (4 LEAs per ESC)
- Title VIII (USCO) - 4 LEAs from 1 ESCs (4 LEAs per ESC)

- Each program manager will email the following documents to the LEAs selected for their program—
 - Coversheet
 - Guidance Document
 - Guidance Document Instructions
 - Checklist
 - Instructions for uploading to ESSA Reports on TEAL.

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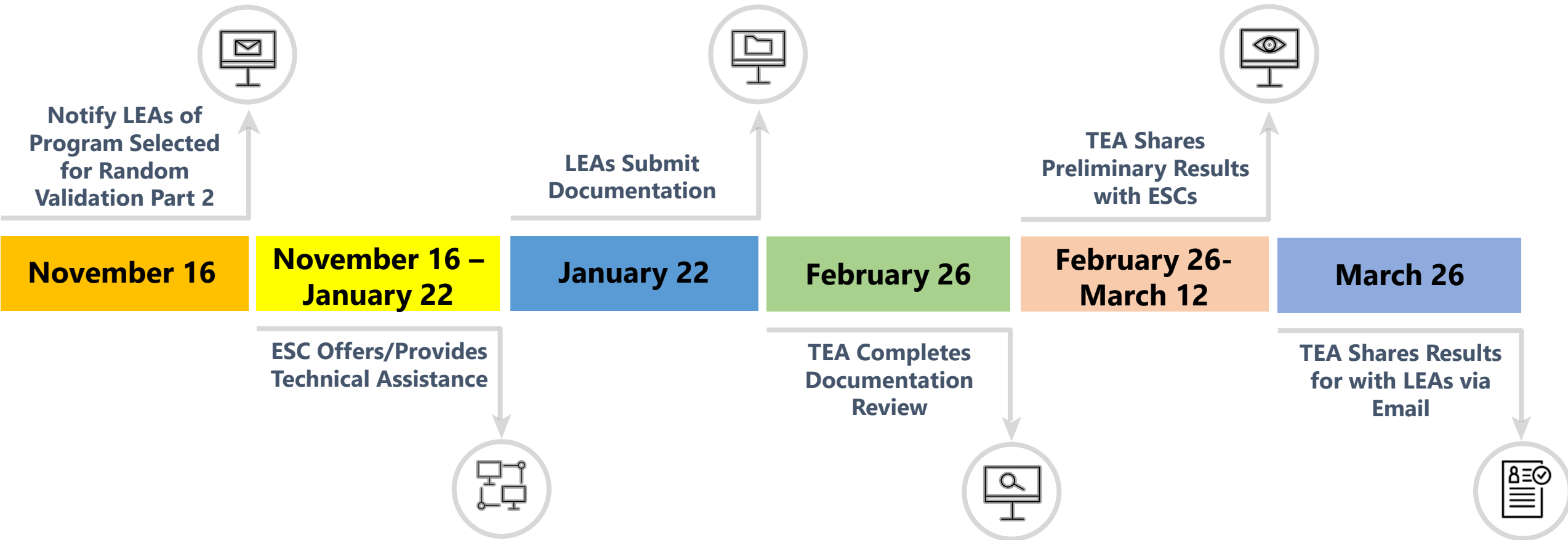
What goes in the pdf for uploading?

- Coversheet
- Guidance Document
- Documentation necessary to demonstrate compliance.

- 10 MB limit.
- “Optimize” pdf file to reduce size.
- Ensure all pages are actually needed.
- Last resort, split file and upload in sections.

Random Validation Documentation - Part 2

LEA Timeline



- **Met Requirements**
 - Validation Closed March 26, 2021

- **Improvement Needed**
 - LEAs notified March 26, 2021
 - ESCs offer/provide technical assistance
 - March 29 – September 29, 2021
 - Resubmission of documentation showing compliance with missed requirement in 2021-2022
 - September 30, 2021



ESSAsupport@tea.texas.gov

Contact Information

10/2020



Contact Information

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Thank you!!

10/2020